

Applicant or Patentee: Thomas Haaf, et al.
Serial or Patent No.: 09/007,020
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For: METHODS AND COMPOSITIONS UTILIZING RAD51

Attorney's Docket No.:
A-65680/RFT/RMS/DAV

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION Yale University
ADDRESS OF ORGANIZATION 451 College Street
New Haven, Connecticut 06520

TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____) (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____) (CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for
purposes of paying reduced fees under Section 41(a) or (b) of Title 35, United States Code, with regard to the invention entitled
METHODS AND COMPOSITIONS UTILIZING RAD51

by inventor(s) Thomas Haaf; Efim Ilya Golub; Gurucharan Reddy; Charles Meyer Radding and David C. Ward

- ☐ the specification filed herewith
☒ application serial no. 09/007,020, filed 14 January 1998
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization having rights to the
invention as listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small
business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or
a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or
organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____
ADDRESS: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization
NAME: _____
ADDRESS: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small
entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status
as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are
believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are
punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false
statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is
directed.

NAME OF PERSON SIGNING Kara McCarthy
TITLE IN ORGANIZATION Manager, Intellectual Property Affairs
ADDRESS OF PERSON SIGNING Yale University, 451 College Street, New Haven, CT 06520

SIGNATURE  DATE 8/24/98